A Markov chain to probe chromosomal instability in tumor evolution and drug resistance

#### Sergi Elizalde

#### Dartmouth College

joint work with Sam Bakhoum, Ashley Laughney and Giulio Genovese

Sloan-Kettering Institute, Computational Biology Program Science In Progress

Aug 4, 2015

Genomic instability Some assumptions

#### Missegregation

During mitosis, cancer cells undergo chromosome missegregation events, causing one of the two daughter cells to inherit more copies of a chromosome than the other.



Sergi Elizalde

Markov chain for chromosomal instability in tumor evolution

Genomic instability Some assumptions

## Advantages of genomic instability

- It has been observed that
  - more copies of oncogenic chromosomes (with proliferative genes) increase the cell's chances of surviving, while
  - more copies of tumor supressive chromosomes (with anti-profiferative genes) increase its chances of dying.

Genomic instability Some assumptions

## Advantages of genomic instability

- It has been observed that
  - more copies of oncogenic chromosomes (with proliferative genes) increase the cell's chances of surviving, while
  - more copies of tumor supressive chromosomes (with anti-profiferative genes) increase its chances of dying.

A recent genomic analysis by Davoli et al. assigned scores to individual chromosomes based on the presence of such genes.

Since the karyotype of a cell affects its fitness level, genomic instability allows for Darwinian selection to occur.

Genomic instability Some assumptions

## History

The first stochastic model of missegregation was developed by Gusev, Kagansky and Dooley in 2000.

Their model is quite straightforward but it has a few disadvantages:

- Simulations are very slow.
- It can't be analyzed mathematically to find long-term behavior.
- It doesn't account for chromosome scores, and its predictions are unrealistic.

Genomic instability Some assumptions

# History

The first stochastic model of missegregation was developed by Gusev, Kagansky and Dooley in 2000.

Their model is quite straightforward but it has a few disadvantages:

- Simulations are very slow.
- It can't be analyzed mathematically to find long-term behavior.
- It doesn't account for chromosome scores, and its predictions are unrealistic.

We will build a Markov chain model that addresses these 3 issues.

Genomic instability Some assumptions

#### Assumptions of our model

► Each copy of a chromosome has probability p of missegregating at a given cell division, independent from other copies. Typically, p ≈ 0.0025.

Genomic instability Some assumptions

### Assumptions of our model

- ► Each copy of a chromosome has probability p of missegregating at a given cell division, independent from other copies. Typically, p ≈ 0.0025.
- ► If the number of copies of any chromosome reaches 0 or goes above N (typically N = 8), the cell dies.

Genomic instability Some assumptions

## Assumptions of our model

- ► Each copy of a chromosome has probability p of missegregating at a given cell division, independent from other copies. Typically, p ≈ 0.0025.
- ► If the number of copies of any chromosome reaches 0 or goes above N (typically N = 8), the cell dies.
- Starting from a single founder cell, all the cells in the colony divide simultaneously at each generation.
- ► The karyotype of a cell is the vector (n<sub>1</sub>, n<sub>2</sub>, ..., n<sub>23</sub>) where n<sub>k</sub> is the number of copies of chromosome k. An alive cell has 1 ≤ n<sub>k</sub> ≤ N for all k.

Genomic instability Some assumptions

#### Simulations vs. Markov chain

We can implement this model and run a forward simulation. However, simulations are slow because we keep track of the karyotypes of all the cells.

Instead, we will build a Markov chain that describes the average distribution of karyotypes. Main advantages:

- Computations are much faster, since they amount to taking powers of matrices.
- We can analyze the Markov chain mathematically to predict long-term behavior.

Genomic instability Some assumptions

## Additional simplifications

 Since missegregations of different chromosomes are independent, we focus on one type of chromosome at a time.

Our Markov chain has states 0, 1, 2, ..., N, where state *i* corresponds to cells with *i* copies of the chromosome, with an absorbing state 0 corresponding to dead cells.

The probability of a given karyoptype  $(n_1, \ldots, n_{23})$  is obtained by multiplying the probability that the Markov chain corresponding to chromosome k is in state  $n_k$  for  $1 \le k \le 23$ .

Genomic instability Some assumptions

## Additional simplifications

 Since missegregations of different chromosomes are independent, we focus on one type of chromosome at a time.

Our Markov chain has states 0, 1, 2, ..., N, where state *i* corresponds to cells with *i* copies of the chromosome, with an absorbing state 0 corresponding to dead cells.

The probability of a given karyoptype  $(n_1, \ldots, n_{23})$  is obtained by multiplying the probability that the Markov chain corresponding to chromosome k is in state  $n_k$  for  $1 \le k \le 23$ .

We disregard the highly unlikely event that multiple copies of the same chromosome in a cell missegregate simultaneously.

The Markov chain Mathematical analysis and numerical results

#### The Markov chain for the basic model



Sergi Elizalde Markov chain for chromosomal instability in tumor evolution

The Markov chain Mathematical analysis and numerical results

#### The transition matrix

Each chromosome copy produces 0, 1 or 2 copies in a random daughter cell, with probability p/2, 1 - p and p/2, respectively. For a cell with *i* copies, the probability that a random daughter has *j* copies is given by the coefficient of  $x^j$  in

$$\left(\frac{p}{2}+(1-p)x+\frac{p}{2}x^2\right)^i \approx \frac{ip}{2}x^{i-1}+(1-ip)x^i+\frac{ip}{2}x^{i+1},$$

ignoring quadratic terms in p.

The Markov chain Mathematical analysis and numerical results

#### The transition matrix

Each chromosome copy produces 0, 1 or 2 copies in a random daughter cell, with probability p/2, 1 - p and p/2, respectively. For a cell with *i* copies, the probability that a random daughter has *j* copies is given by the coefficient of  $x^j$  in

$$\left(\frac{p}{2}+(1-p)x+\frac{p}{2}x^2\right)^i \approx \frac{ip}{2}x^{i-1}+(1-ip)x^i+\frac{ip}{2}x^{i+1},$$

ignoring quadratic terms in p.

This gives the transition matrix:

$$M_{ij} = \begin{cases} 1 - ip & \text{if } i = j, \\ ip/2 & \text{if } |i - j| = 1, \\ 0 & \text{if } |i - j| \ge 2, \end{cases}$$

for  $1 \leq i, j \leq N$ .

The Markov chain Mathematical analysis and numerical results

#### The transition matrix

For example, for N = 8, we get

1	0	0	0	0	0	0	0	0 ]
<i>p</i> /2	1-p	<i>p</i> /2	0	0	0	0	0	0
0	р	1-2p	р	0	0	0	0	0
0	0	3p/2	1-3p	3 <i>p</i> /2	0	0	0	0
0	0	0	2 <i>p</i>	1-4p	2 <i>p</i>	0	0	0
0	0	0	0	5 <i>p</i> /2	1-5p	5 <i>p</i> /2	0	0
0	0	0	0	0	3 <i>p</i>	1-6p	3р	0
0	0	0	0	0	0	7p/2	1-7p	7p/2
4 <i>p</i>	0	0	0	0	0	0	4 <i>p</i>	1 - 8p

The Markov chain Mathematical analysis and numerical results

#### The transition matrix

For example, for N = 8, we get

1	0	0	0	0	0	0	0	0 ]
<i>p</i> /2	1 - p	<i>p</i> /2	0	0	0	0	0	0
0	р	1-2p	р	0	0	0	0	0
0	0	3 <i>p</i> /2	1-3p	3 <i>p</i> /2	0	0	0	0
0	0	0	2 <i>p</i>	1-4p	2 <i>p</i>	0	0	0
0	0	0	0	5 <i>p</i> /2	1-5p	5 <i>p</i> /2	0	0
0	0	0	0	0	3р	1-6p	3р	0
0	0	0	0	0	0	7p/2	1-7p	7p/2
4 <i>p</i>	0	0	0	0	0	0	4 <i>p</i>	1 – 8p

Let  ${\bf M}$  be the matrix obtained by removing the row and column corresponding to the dead state.

The Markov chain Mathematical analysis and numerical results

#### The transition matrix

For example, for N = 8, we get

$$\mathbf{M} = \begin{bmatrix} 1-p & p/2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ p & 1-2p & p & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 3p/2 & 1-3p & 3p/2 & 0 & 0 & 0 & 0 \\ 0 & 0 & 2p & 1-4p & 2p & 0 & 0 & 0 \\ 0 & 0 & 0 & 5p/2 & 1-5p & 5p/2 & 0 & 0 \\ 0 & 0 & 0 & 0 & 3p & 1-6p & 3p & 0 \\ 0 & 0 & 0 & 0 & 0 & 7p/2 & 1-7p & 7p/2 \\ 0 & 0 & 0 & 0 & 0 & 0 & 4p & 1-8p \end{bmatrix}$$

Let  ${\bf M}$  be the matrix obtained by removing the row and column corresponding to the dead state.

The Markov chain Mathematical analysis and numerical results

#### Properties of the transition matrix

► (M<sup>g</sup>)<sub>i,j</sub> is the proportion of cells that have j copies after g generations, starting with a founder cell with i copies.

The Markov chain Mathematical analysis and numerical results

### Properties of the transition matrix

- ► (M<sup>g</sup>)<sub>i,j</sub> is the proportion of cells that have j copies after g generations, starting with a founder cell with i copies.
- Let  $s_g(i) = \text{sum of the entries of the } i\text{th row of } \mathbf{M}^g$ . Then

$$2^g \prod_{k=1}^{23} s_g(n_k)$$

is the expected number of alive cells after g generations when the founder cell has  $n_k$  copies of chromosome k for each k.

The Markov chain Mathematical analysis and numerical results

### Properties of the transition matrix

- ► (M<sup>g</sup>)<sub>i,j</sub> is the proportion of cells that have j copies after g generations, starting with a founder cell with i copies.
- Let  $s_g(i) = \text{sum of the entries of the } i\text{th row of } \mathbf{M}^g$ . Then

$$2^g \prod_{k=1}^{23} s_g(n_k)$$

is the expected number of alive cells after g generations when the founder cell has  $n_k$  copies of chromosome k for each k.

For a vector v describing the initial distribution of the number of copies, the vector vM<sup>g</sup>, normalized so its entries sum to one, is the distribution among alive cells of the number of copies after g generations.

The Markov chain Mathematical analysis and numerical results

#### Deviance from modal chromosome copy number

Forward Matlab simulation: (founder cell has  $n_{chrom} = 4$  copies)



The Markov chain Mathematical analysis and numerical results

#### Deviance from modal chromosome copy number



The Markov chain Mathematical analysis and numerical results

## Average number of copies over time

# Forward Matlab simulation:

(p = 0.0025)



The Markov chain Mathematical analysis and numerical results

#### Average number of copies over time



We observe convergence to a near-triploid state.

The Markov chain Mathematical analysis and numerical results

#### Distribution of the number of copies over time

The following figures are for the Markov chain model with N = 8 and a diploid founder cell. Each curve represents a number of copies: 1, 2, 3, 4, 5, 6, 7, 8.



de Markov chain for chromosomal instability in tumor evolution

The Markov chain Mathematical analysis and numerical results

#### Distribution of number of copies over time

Now take p = 0.0025 and run 2000 generations, with a tetraploid founder cell. Each curve represents a given number of copies: 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16. 0.8 0.8 0.6  $0.6 \cdot$ 0.4 0.4 0.2 0.2 200 400 600 800 1000 1200 1400 1600 1800 2000 200 400 600 800 1000 1200 1400 1600 1800 2000 generations generations

*N* = 8

The Markov chain Mathematical analysis and numerical results

## The limiting behavior

We are interested in the limiting distribution of the number of chromosome copies (among alive cells) when the number of generations g tends to infinity.

The Markov chain Mathematical analysis and numerical results

## The limiting behavior

We are interested in the limiting distribution of the number of chromosome copies (among alive cells) when the number of generations g tends to infinity.

Since our Markov chain has an absorbing state, its stationary distribution only shows that  $\frac{\# \text{alive cells}}{2^g} \to 0$  as  $g \to \infty$ .

The Markov chain Mathematical analysis and numerical results

# The limiting behavior

We are interested in the limiting distribution of the number of chromosome copies (among alive cells) when the number of generations g tends to infinity.

Since our Markov chain has an absorbing state, its stationary distribution only shows that  $\frac{\# \text{alive cells}}{2^g} \to 0$  as  $g \to \infty$ .

However, we can use a result from probability theory to restrict to non-absorbing states (equivalently, alive cells):

#### Theorem

Let  $\rho$  be the largest eigenvalue of **M**. The limiting distribution conditional on the non-absorbing states is given by the vector **v** satisfying  $\mathbf{v}\mathbf{M} = \rho\mathbf{v}$  and  $\sum_{i=1}^{N} v_i = 1$ .

The Markov chain Mathematical analysis and numerical results

## The limiting behavior

In particular, this limiting distribution does not depend on the number of copies of the founder cell.

The Markov chain Mathematical analysis and numerical results

## The limiting behavior

In particular, this limiting distribution does not depend on the number of copies of the founder cell.

Surprisingly, we can prove that it does not depend on the missegregation rate either:

#### Theorem

The limiting distribution of the above basic model conditional on the non-absorbing states is independent of *p*.

The Markov chain Mathematical analysis and numerical results

#### The limiting distribution

Limiting distributions for N = 8, 9, 10, 11, 12, 13, 14, 15, 16.



The modal chromosomal number is always 1, but this will change once we incorporate chromosome scores.

The Markov chain Mathematical analysis and numerical results

#### Chromosome scores and survival probability

Following experiments by Davoli et al., we assign a score  $s_k$  to each chromosome k. The total score of a cell with karyotype  $(n_1, \ldots, n_{23})$  is: 23

$$S=\sum_{k=1}^{\infty}s_kn_k,$$

The Markov chain Mathematical analysis and numerical results

#### Chromosome scores and survival probability

Following experiments by Davoli et al., we assign a score  $s_k$  to each chromosome k. The total score of a cell with karyotype  $(n_1, \ldots, n_{23})$  is:

$$S=\sum_{k=1}s_kn_k,$$

and its survival probability at a given generation is

$$Q_{
m surv} = e^{c+dS}$$

for some parameters c and d > 0.

The Markov chain Mathematical analysis and numerical results

## Chromosome scores and survival probability

Following experiments by Davoli et al., we assign a score  $s_k$  to each chromosome k. The total score of a cell with karyotype  $(n_1, \ldots, n_{23})$  is:

$$S=\sum_{k=1}s_kn_k,$$

and its survival probability at a given generation is

$$Q_{
m surv} = e^{c+dS}$$

for some parameters c and d > 0.

Again, we can implement this model and run simulations.

Instead, we will incorporate the chromosome scores into the Markov chain, and use it to run fast computations and determine limiting behavior.

The Markov chain Mathematical analysis and numerical results

#### Decomposing the survival probability

$$Q_{\text{surv}} = e^{c+dS} = e^{c+d\sum_k s_k n_k} = \prod_{k=1}^{23} \underbrace{e^{c/23+ds_k n_k}}_{q_k(n_k)}.$$

The Markov chain Mathematical analysis and numerical results

Decomposing the survival probability

$$Q_{\text{surv}} = e^{c+dS} = e^{c+d\sum_k s_k n_k} = \prod_{k=1}^{23} \underbrace{e^{c/23+ds_k n_k}}_{q_k(n_k)}.$$

Let

$$q_k(i) = e^{c/23 + ds_k i} = C\mu^i$$

denote the contribution to the survival probability from chromosome k, where  $C = e^{c/23}$  and  $\mu = e^{ds_k}$ .

The Markov chain Mathematical analysis and numerical results

Decomposing the survival probability

$$Q_{\text{surv}} = e^{c+dS} = e^{c+d\sum_{k} s_{k} n_{k}} = \prod_{k=1}^{23} \underbrace{e^{c/23+ds_{k} n_{k}}}_{q_{k}(n_{k})}.$$

Let

$$q_k(i) = e^{c/23 + ds_k i} = C\mu^i$$

denote the contribution to the survival probability from chromosome k, where  $C = e^{c/23}$  and  $\mu = e^{ds_k}$ .

Oncogenic  $\Leftrightarrow s_k > 0 \Leftrightarrow \mu > 1$ . Tumor-suppressive  $\Leftrightarrow s_k < 0 \Leftrightarrow \mu < 1$ .

This equation allows us to break up the model into 23 independent Markov chains, one for each type of chromosome.

The Markov chain Mathematical analysis and numerical results

#### The Markov chain for chromosome k



A cell with *i* copies of the chromosome has probability  $1 - q_k(i)$  of dying, and probability  $q_k(i)$  of surviving and dividing as in the basic model.

The Markov chain Mathematical analysis and numerical results

#### The transition matrix

The transition matrix  $\mathbf{A}^{(k)}$  restricted to alive cells is:

$$A_{ij}^{(k)} = \begin{cases} (1 - ip) \, q_k(i) & \text{if } i = j, \\ ip \, q_k(i)/2 & \text{if } |i - j| = 1, \\ 0 & \text{if } |i - j| \ge 2, \end{cases}$$

for  $1 \leq i, j \leq N$ .

The Markov chain Mathematical analysis and numerical results

#### The transition matrix

The transition matrix  $\mathbf{A}^{(k)}$  restricted to alive cells is:

$$A_{ij}^{(k)} = \begin{cases} (1 - ip) q_k(i) & \text{if } i = j, \\ ip q_k(i)/2 & \text{if } |i - j| = 1, \\ 0 & \text{if } |i - j| \ge 2, \end{cases}$$

for  $1 \leq i, j \leq N$ .

Letting  $s_g^{(k)}(i) = \text{sum of the entries of the } i\text{th row of } (\mathbf{A}^{(k)})^g$ ,

$$2^{g}\prod_{k=1}^{23}s_{g}^{(k)}(n_{k})$$

is the expected number of alive cells after g generations when the founder cell has  $n_k$  copies of chromosome k for each k.

The Markov chain Mathematical analysis and numerical results

#### Distribution of the number of copies over time

In human chromosomes,  $\mu \in [0.9994, 1.0012].$ 

Fix p = 0.0025 and a founder cell with 2 copies. Run for 2000 generations.

Each curve represents a number of copies: 1, 2, 3, 4, 5, 6, 7, 8,



Markov chain for chromosomal instability in tumor evolution

The Markov chain Mathematical analysis and numerical results

#### Distribution of the number of copies over time

In human chromosomes,  $\mu \in [0.9994, 1.0012].$ 

Fix p = 0.001 and a founder cell with 2 copies. Run for 2000 generations.

Each curve represents a number of copies: 1, 2, 3, 4, 5, 6, 7, 8,



Markov chain for chromosomal instability in tumor evolution

The Markov chain Mathematical analysis and numerical results

## The limiting behavior

As before, if  $\rho$  is the largest eigenvalue of  $\mathbf{A}^{(k)}$ , the limiting distribution conditional on the non-absorbing states is given by the vector  $\mathbf{v}$  satisfying  $\mathbf{v}\mathbf{A}^{(k)} = \rho\mathbf{v}$  and  $\sum_{i=1}^{N} \mathbf{v}_i = 1$ .

The Markov chain Mathematical analysis and numerical results

# The limiting behavior

As before, if  $\rho$  is the largest eigenvalue of  $\mathbf{A}^{(k)}$ , the limiting distribution conditional on the non-absorbing states is given by the vector  $\mathbf{v}$  satisfying  $\mathbf{v}\mathbf{A}^{(k)} = \rho\mathbf{v}$  and  $\sum_{i=1}^{N} v_i = 1$ .

Again, this limiting distribution does not depend on the number of copies of the founder cell.

However, unlike for the model without scores, it now depends on p and on  $\mu$  (equivalently, on the chromosome score).

The Markov chain Mathematical analysis and numerical results

#### The limiting distribution

Liming distributions for  $\mu = 0.9994$ , 0.9996, 0.9998, 1.0000, 1.0002, 1.0004, 1.0006, 1.0008, 1.0010, 1.0012.



$$p = 0.001$$

The Markov chain Mathematical analysis and numerical results

#### The limiting distribution

Liming distributions for  $\mu = 0.9994$ , 0.9996, 0.9998, 1.0000, 1.0002, 1.0004, 1.0006, 1.0008, 1.0010, 1.0012.



p = 0.001

For higher chromosome scores, the limiting distribution favors higher copy numbers.

For positive chromosome scores  $(\mu > 1)$ , the modal number of copies soon becomes higher than one, making this more realistic than the model without scores.

The Markov chain Mathematical analysis and numerical results

#### The limiting distribution

Liming distributions for  $\mu = 0.9994$ , 0.9996, 0.9998, 1.0000, 1.0002, 1.0004, 1.0006, 1.0008, 1.0010, 1.0012.



The Markov chain Mathematical analysis and numerical results

#### The limiting distribution

Liming distributions for  $\mu$  in the refined range 1.0002, 1.00025, 1.0003, 1.00035, 1.0004, 1.00045, 1.005, 1.0055, 1.0006.



The Markov chain Mathematical analysis and numerical results

### The limiting distribution

Limiting distributions for the experimentally found values of  $\mu$  corresponding to the 23 human chromosomes, and p = 0.0025:



The Markov chain Mathematical analysis and numerical results

### The limiting distribution

Limiting distributions for the experimentally found values of  $\mu$  corresponding to the 23 human chromosomes, and p = 0.0025:



The average number of chromosomes per cell in the limit is 72.7, which is an average of 3.16 copies of each chromosome type.

The Markov chain Mathematical analysis and numerical results

## Fraction of alive cells after 1000 generations

Using the experimentally found values for the chromosome scores and starting with a tetraploid founder cell.

Forward Matlab simulation:



The Markov chain Mathematical analysis and numerical results

Markov chain model:

## Fraction of alive cells after 1000 generations

Using the experimentally found values for the chromosome scores and starting with a tetraploid founder cell.

Forward Matlab simulation:



missegregation rate, around  $p pprox 10^{-3}$ .

Sergi Elizalde

Changing the survival probability Modeling mutations Adding treatment

## Changing the survival probability

We can change the survival probability  $Q_{surv}$  by multiplying it by a factor F. This is useful to model:

- when the tumor outgrows its blood supply;
- adding treatments to tumors (this makes the survival probability lower only for certain cells).

Changing the survival probability Modeling mutations Adding treatment

## Changing the survival probability

We can change the survival probability  $Q_{surv}$  by multiplying it by a factor F. This is useful to model:

- when the tumor outgrows its blood supply;
- adding treatments to tumors (this makes the survival probability lower only for certain cells).

If we multiply  $Q_{surv}$  by F for all cells in our current model with p = 0.0025, the size of the tumor increases if F > 0.51 and it decreases if F < 0.50.

Changing the survival probability Modeling mutations Adding treatment

## Targeted therapy and mutations

Targeted therapy targets genes located in a particular chromosome, decreasing the survival probability.

Changing the survival probability Modeling mutations Adding treatment

## Targeted therapy and mutations

Targeted therapy targets genes located in a particular chromosome, decreasing the survival probability.

However, at a given rate  $m \approx 10^{-9}$  each target gene is mutated, becoming no longer responsive to treatment. Mutated genes are inherited.

Changing the survival probability Modeling mutations Adding treatment

## Targeted therapy and mutations

Targeted therapy targets genes located in a particular chromosome, decreasing the survival probability.

However, at a given rate  $m \approx 10^{-9}$  each target gene is mutated, becoming no longer responsive to treatment. Mutated genes are inherited.

The survival probability of the cell depends on the number of mutated and normal copies of the treated chromosome.

Changing the survival probability Modeling mutations Adding treatment

## Modeling mutations

We modify the Markov chain as follows:

States are indexed by pairs  $(i_1, i_2)$  with  $1 \le i_1 + i_2 \le N$ , representing cells having  $i_1$  normal copies of the chromosome and  $i_2$  mutated copies. E.g., for N = 8, there are 44 non-absorbing states.

Changing the survival probability Modeling mutations Adding treatment

## Modeling mutations

We modify the Markov chain as follows:

States are indexed by pairs  $(i_1, i_2)$  with  $1 \le i_1 + i_2 \le N$ , representing cells having  $i_1$  normal copies of the chromosome and  $i_2$  mutated copies. E.g., for N = 8, there are 44 non-absorbing states.

In a cell division, each normal copy of the chromosome has probability  $m \approx 10^{-9}$  of mutating (and becoming resistant). Each mutated copy has probability  $r \approx 10^{-9}/4$  of reversing into a normal copy (amenable to treatment).

Changing the survival probability Modeling mutations Adding treatment

## Modeling mutations

We modify the Markov chain as follows:

States are indexed by pairs  $(i_1, i_2)$  with  $1 \le i_1 + i_2 \le N$ , representing cells having  $i_1$  normal copies of the chromosome and  $i_2$  mutated copies. E.g., for N = 8, there are 44 non-absorbing states.

In a cell division, each normal copy of the chromosome has probability  $m \approx 10^{-9}$  of mutating (and becoming resistant). Each mutated copy has probability  $r \approx 10^{-9}/4$  of reversing into a normal copy (amenable to treatment).

Again, we disregard highly unlikely events such as mutating and missegregating in the same cell division.

Changing the survival probability Modeling mutations Adding treatment

#### The modified Markov chain

Arrows leaving a typical node  $(i_1, i_2)$ :

 $(\text{let } i = i_1 + i_2)$ 



Missegregations and mutations

Changing the survival probability Modeling mutations Adding treatment

#### The modified Markov chain

Arrows leaving a typical node  $(i_1, i_2)$ :

$$(\text{let } i = i_1 + i_2)$$



Missegregations and mutations, survival probability

Changing the survival probability Modeling mutations Adding treatment

## Modeling drug resistance

First, we let the tumor grow with the usual parameters  $(p, Q_{surv}, m, r)$ , until it reaches  $10^9$  cells and it becomes detectable with a CT scan.

Then we apply a drug that targets a given chromosome.

Changing the survival probability Modeling mutations Adding treatment

## Modeling drug resistance

First, we let the tumor grow with the usual parameters  $(p, Q_{\text{surv}}, m, r)$ , until it reaches  $10^9$  cells and it becomes detectable with a CT scan.

Then we apply a drug that targets a given chromosome.

This can be modeled in two ways:

- 1. Binary resistance: cells with at least one mutated copy of the treated chromosome are resistant.
- 2. The level of resistance depends on the ratio of copies of normal vs. mutated target genes.

Changing the survival probability Modeling mutations Adding treatment

#### Case 1: Binary resistance

For cells of type  $(i_1, 0)$ , multiply the survival probability by a factor F, which depends on the strength of the treatment.

Cells of type  $(i_1, i_2)$  with  $i_2 > 0$  behave like before.

Changing the survival probability Modeling mutations Adding treatment

## Case 1: Binary resistance

For cells of type  $(i_1, 0)$ , multiply the survival probability by a factor F, which depends on the strength of the treatment.

Cells of type  $(i_1, i_2)$  with  $i_2 > 0$  behave like before.

Treatment applied to chromosome 1, tetraploid founder cell:



Changing the survival probability Modeling mutations Adding treatment

## Case 2: Graded resistance

For cells of type  $(i_1, i_2)$ , multiply the survival probability by

$$\frac{i_1F+i_2}{i_1+i_2}.$$

This factor is F for cells of type  $(i_1, 0)$  and 1 for cells of type  $(0, i_2)$ .

Changing the survival probability Modeling mutations Adding treatment

## Case 2: Graded resistance

For cells of type  $(i_1, i_2)$ , multiply the survival probability by

$$\frac{i_1F+i_2}{i_1+i_2}.$$

This factor is F for cells of type  $(i_1, 0)$  and 1 for cells of type  $(0, i_2)$ . Compare binary resistance and graded resistance:



Sergi Elizalde

Markov chain for chromosomal instability in tumor evolution

Changing the survival probability Modeling mutations Adding treatment

# Thank you