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Math 22 –  
Linear Algebra and its  
applications

- Lecture 17 -

**Instructor:** Bjoern Muetzel

# GENERAL INFORMATION

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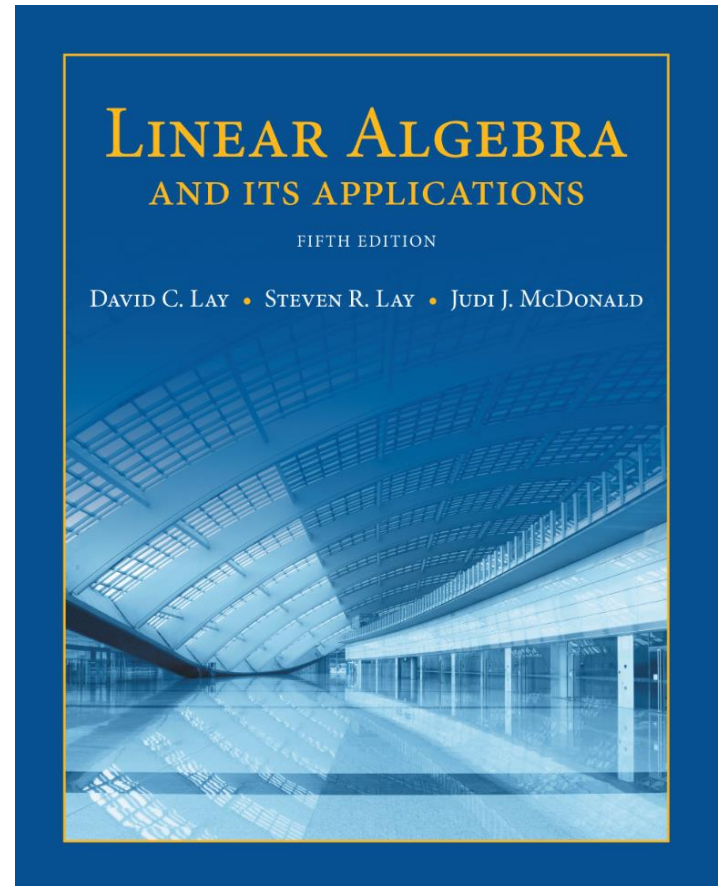
- **Office hours:** Tu 1-3 pm, Th, Sun 2-4 pm in KH 229
- **Tutorial:** Tu, Th, Sun 7-9 pm in KH 105
- **Homework 5:** due today at 4 pm outside KH 008. Please divide into the parts A, B, C and D and write your name on each part.
- **Project:** Meeting next weekend!
- **Midterm 2:** Friday Nov 1 at 4 pm in Carpenter 013

# 4

## Vector Spaces

### 4.5

#### THE DIMENSION OF A VECTOR SPACE



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- **Summary:**

- 1.) The number  **$n$**  of basis vectors of a vector space  $V$  is an invariant. It is called the **dimension of  $V$**
- 2.) If the dimension  **$n$**  of a vector space is known then we can find a **basis** by finding a **spanning set** or finding a **linearly independent set** of  $n$  vectors.

# GEOMETRIC INTERPRETATION

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# DIMENSION OF A VECTOR SPACE

- **Reminder:** A basis of a vector space  $V$  is a linearly independent set that spans  $V$ .
- **Theorem 9:** If a vector space  $V$  has a basis  $B = \{b_1, \dots, b_n\}$ , then any set in  $V$  containing more than  $n$  vectors must be linearly dependent.
- **Theorem 10:** If a vector space  $V$  has a basis of  $n$  vectors, then every basis of  $V$  must consist of exactly  $n$  vectors.

**Proof:** Idea for Theorem 9: As  $V \cong \mathbb{R}^n$  we can use the coordinate map

$$[\cdot]_B = T_B: V \rightarrow \mathbb{R}^n, x \mapsto T_B(x) = [x]_B$$

and do all calculations in  $\mathbb{R}^n$ .





# DIMENSION OF A VECTOR SPACE

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# DIMENSION OF A VECTOR SPACE

- **Definition:** If  $V$  is spanned by a finite set, then  $V$  is said to be **finite-dimensional**, and the **dimension** of  $V$ , written as  $\dim V$ , is the number of vectors in a basis for  $V$ .

$$\dim V = \# (\text{basis vectors of } V)$$

- The dimension of the zero vector space  $\{\mathbf{0}\}$  is defined to be zero.
- If  $V$  is not spanned by a finite set, then  $V$  is said to be **infinite-dimensional**.

# DIMENSION OF A VECTOR SPACE

- **Example 3:** Find the dimension of the subspace

$$H = \left\{ \begin{bmatrix} a - 3b + 6c \\ 5a + 4d \\ b - 2c - d \\ 5d \end{bmatrix} : a, b, c, d \text{ in } \mathbb{R} \right\}$$



# BUILDING A BASIS FROM A LINEARLY INDEPENDENT SET

- **Theorem 11:** Let  $H$  be a subspace of a finite-dimensional vector space  $V$ . Any linearly independent set  $S$  in  $H$  can be expanded, if necessary, to a basis for  $H$ .
- **Proof: Idea:** If the set  $S$  does not span  $H$ , then we can add a vector  $u$  from  $H$  that is not in  $\text{span } S$ .  $\{S \cup u\}$  is linearly independent.
- **Consequence:** Let  $H$  be a subspace of a finite-dimensional vector space  $V$ . Then 
$$\dim H \leq \dim V$$
- **Proof:** A basis  $B$  of  $H$  is linearly independent. By **Theorem 9** the basis  $B$  can not have more vectors than  $\dim V$ . Hence  $\dim H \leq \dim V$ .

# BUILDING A BASIS FROM A LINEARLY INDEPENDENT SET

**How can we complete a basis  $\mathbf{B} = \{\mathbf{b}_1, \dots, \mathbf{b}_k\}$  of a subspace  $\mathbf{H}$  in  $\mathbb{R}^n$  to a basis of  $\mathbb{R}^n$  ?**

**Solution:** Let  $\mathbf{E} = \{\mathbf{e}_1, \dots, \mathbf{e}_n\}$  be the standard basis of  $\mathbb{R}^n$ .

- 1.) Write  $\mathbf{B}$  in matrix form  $\mathbf{B} = [\mathbf{b}_1, \dots, \mathbf{b}_k]$ .  $\mathbf{B}$  has a pivot in every **column**.
- 2.) Now bring  $\mathbf{B}^T$  into echelon form  $\mathbf{U}^T$ .  $\mathbf{U}^T$  has a pivot in every **row**.
- 3.) For any **non-pivot column  $\mathbf{j}$**  of  $\mathbf{U}^T$  add a row  $\mathbf{e}_j^T$  to  $\mathbf{U}^T$ . We call the matrix obtained this way  $\mathbf{U}'^T$ .
- 4.) Then  $\mathbf{B}$  together with the basis vectors  $\mathbf{e}_j$  from 3.) forms a basis of  $\mathbb{R}^n$ .

# BUILDING A BASIS FROM A LINEARLY INDEPENDENT SET

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**Proof:**





# BUILDING A BASIS FROM A LINEARLY INDEPENDENT SET

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**Example:** Let  $b_1 = \begin{bmatrix} 1 \\ -1 \\ 2 \end{bmatrix}$  and  $b_2 = \begin{bmatrix} -1 \\ 1 \\ 0 \end{bmatrix}$ .

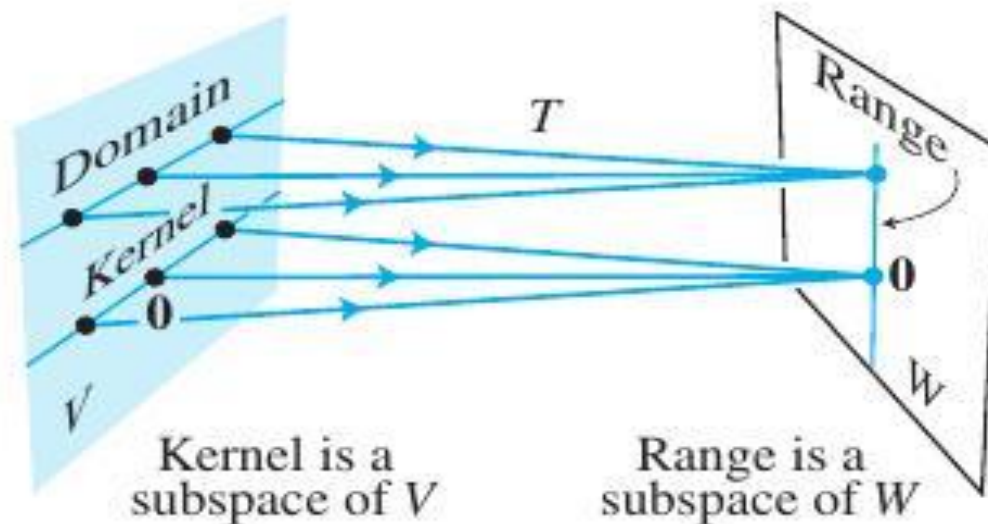
Complete  $\{b_1, b_2\}$  to a basis for  $\mathbb{R}^3$ .

# THE BASIS THEOREM

- **Theorem 12: (Basis theorem)** Let  $V$  be an  $n$ -dimensional vector space, where  $n \geq 1$ . Then
  - 1.) Any linearly independent set of exactly  $n$  elements in  $V$  is automatically a basis for  $V$ .
  - 2.) Any set of exactly  $n$  elements that spans  $V$  is automatically a basis for  $V$ .
- **Proof:** 1.) Follows from **Theorem 11** or “pivot in every”  
2.) Follows from the **Spanning Set Theorem** or  
“pivot in every”

# REMINDER: KERNEL AND RANGE

- **Definition:** Let  $T: V \rightarrow W, x \mapsto T(x)$  be a linear transformation.
  - 1.) The **kernel** (or **null space**)  $Nul(T)$  of such a  $T$  is the set of all  $\mathbf{u}$  in  $V$  such that  $T(\mathbf{u}) = \mathbf{0}$  in  $W$ .
  - 2.) The **range**  $T(V)$  of  $T$  is the set of all vectors in  $W$  of the form  $T(\mathbf{x})$  for some  $\mathbf{x}$  in  $V$ .



# REMINDER: BASES FOR NUL A AND COL A

- **Theorem 6:** Let  $T: \mathbb{R}^n \rightarrow \mathbb{R}^m$  be a linear transformation and let  $A$  be the corresponding standard matrix. Then the **p pivot columns of  $A$**  form a **basis** for

$$T(\mathbb{R}^n) = \text{Col } A = \{b \text{ in } \mathbb{R}^m, \text{ s. t. } Ax = b \text{ for some } x \text{ in } \mathbb{R}^n \}.$$

- **Warning:** The pivot columns of a matrix  $A$  can only be read from the echelon form  $U$  of  $A$ . But be careful to use the **pivot columns of  $A$**  itself for the **basis of  $\text{Col } A$** .

- **Consequence:**

$$\dim T(\mathbb{R}^n) = \dim \text{Col } A = p = \#(\text{pivot columns of } A)$$

# REMINDER: BASES FOR NUL A AND COL A

We have seen in Lecture 13:

- **Theorem:** Let  $T: \mathbb{R}^n \rightarrow \mathbb{R}^m$  be a linear transformation and let  $A$  be the corresponding standard matrix. If

$$\text{Nul}(T) = \text{Nul } A = \{x \text{ in } \mathbb{R}^n, Ax=0\}$$

contains nonzero vectors then a **basis for Nul A** consist out of  $q$  vectors, where  $q$  equals the **number of non-pivot columns of A**.

- **Reminder:** We can find Nul A explicitly by solving the homogeneous system of linear equations  $Ax=0$ .

- **Consequence:**

$$\dim \text{Nul}(T) = \dim \text{Nul } A = q = \#(\text{non-pivot columns of } A)$$

# THE DIMENSIONS OF NUL A AND COL A

**Consequence:**

$$\dim \text{Col } A + \dim \text{Nul } A = n = \dim (\mathbb{R}^n) \quad \text{or}$$

$$\dim T(\mathbb{R}^n) + \dim \text{Nul}(T) = \dim \mathbb{R}^n$$

# DIMENSIONS OF NUL A AND COL A

**Example:** Let  $T: \mathbb{R}^5 \rightarrow \mathbb{R}^3$  be a linear transformation with standard matrix

$$A = \begin{bmatrix} -3 & 6 & -1 & 1 & -7 \\ 1 & -2 & 2 & 3 & -1 \\ 2 & -4 & 5 & 8 & -4 \end{bmatrix}$$

- 1.) Find a basis for  $T(\mathbb{R}^5) = \text{Col } A$  and determine  $\dim \text{Col } A$ .
- 2.) What is the dimension of  $\text{Nul } A = \text{Nul}(T)$
- 3.) Find a basis for  $\text{Nul } A$  and complete this basis to a basis for  $\mathbb{R}^5$

