

A note on “Notes”: These notes, not just today’s but in general, are not complete transcriptions of everything we did in class. Instead, they are notes on a few things, often examples, the details of which I think it would be useful to see written out.

In class on Wednesday, January 5, we talked about Diophantine sets and Diophantine expressions.

Diophantine expressions:

A *Diophantine expression* is an expression of the form

$$(\exists x_1)(\exists x_2) \cdots (\exists x_n) (D(a_1, \dots, a_k, x_1, \dots, x_n) = 0),$$

where D is a polynomial with unknowns $a_1, \dots, a_k, x_1, \dots, x_n$ and integer coefficients. (Recall that “ $(\exists x) \cdots$ ” means “there exists a natural number x such that \cdots .”) Notice that the “ $(D(a_1, \dots, a_k, x_1, \dots, x_n) = 0)$ ” part is a Diophantine equation.

We might also write a Diophantine expression as

$$(\exists x_1)(\exists x_2) \cdots (\exists x_n) (D_L(a_1, \dots, a_k, x_1, \dots, x_n) = D_R(a_1, \dots, a_k, x_1, \dots, x_n)).$$

This is not the official form, but can easily be rewritten in the official form.

The unknowns in a Diophantine expression are separated into two classes, the x_1, \dots, x_n that appear in the “there exists...” parts (\exists is called an *existential quantifier* and these are called *quantified variables*), and the a_1, \dots, a_k that do not (which may be called *free variables*, because they are not quantified, or *parameters*¹).

A Diophantine expression says that the k -tuple (a_1, \dots, a_k) (that is, the natural numbers a_1, \dots, a_k considered in that order) has some property. For example, we saw in class that

$$(\exists x) (b = a + 1 + x)$$

is another way to say $a < b$. Of course, this works only because we are restricting the range of our unknowns to natural numbers.

It is important to be clear that the expression $(\exists x) (b = a + 1 + x)$ is saying something about a and b , but *not* about x . Some people call the quantified variables x_1, \dots, x_n “dummy variables.” An analogy is the integral $\int_a^b f(x) dx$, which means the same thing as $\int_a^b f(u) du$, and is telling us something about a and b (namely, the area under the graph of $f(x)$ above the interval with endpoints $x = a$ and $x = b$); x , or u , is a “dummy variable.”

¹We’ll talk in class on Friday about why they are called parameters.

Diophantine sets:

A *Diophantine set* is a subset A of \mathbb{N}^k that is defined by a Diophantine expression; that is, a set of the form

$$A = \{(a_1, \dots, a_k) \mid (\exists x_1)(\exists x_2) \cdots (\exists x_n)(D(a_1, \dots, a_k, x_1, \dots, x_n) = 0)\}.$$

We say the Diophantine expression

$$(\exists x_1)(\exists x_2) \cdots (\exists x_n)(D(a_1, \dots, a_k, x_1, \dots, x_n) = 0)$$

defines the set A .

The set $A = \{(a, b) \mid a < b\}$ is Diophantine because it can be defined by the Diophantine expression $(\exists x)(b = a + 1 + x)$:

$$A = \{(a, b) \mid (\exists x)(b = a + 1 + x)\}.$$

To show the set

$$B = \{a \mid a \text{ is composite}\}$$

is Diophantine, we have to figure out how to say “ a is composite” with a Diophantine expression. Since a is composite just in case a can be written as a product of two factors each of which is greater than 1 and less than a , we begin with

$$(\exists x)(\exists y)(1 < x < a \ \& \ 1 < y < a \ \& \ xy = a).$$

We then notice that if one factor is strictly between 1 and a (“strictly” means $1 < x < a$ rather than $1 \leq x \leq a$), then the other must be also, so we can simplify a little to get

$$(\exists x)(\exists y)(1 < x < a \ \& \ xy = a).$$

This is not yet a Diophantine expression, because $(1 < x < a \ \& \ xy = a)$ is not a Diophantine equation. However, $xy = a$ is a Diophantine equation, and we know how to say $1 < x$ and $x < a$ with Diophantine expressions. We rewrite

$$(\exists x)(\exists y)(1 < x \ \& \ x < a \ \& \ xy = a);$$

$$(\exists x)(\exists y)((\exists v)(x = 1 + 1 + v) \ \& \ (\exists w)(a = x + 1 + w) \ \& \ (xy = a)).$$

It will not change the meaning if we move the “ $(\exists v)$ ” and “ $(\exists w)$ ” outside the large parentheses, and rewrite “ $1 + 1$ ” as “ 2 ”:

$$(\exists x)(\exists y)(\exists v)(\exists w)((x = 2 + v) \ \& \ (a = x + 1 + w) \ \& \ (xy = a)).$$

This is almost right, except that inside the large parentheses we have, not a single Diophantine equation, but several Diophantine equations, all of which must be true. That is, we have a system of Diophantine equations.

Fortunately, we have already seen how to take a system of Diophantine equations and turn it into a single Diophantine equation with the same solutions. We'll use this method to rewrite our expression.

$$(\exists x)(\exists y)(\exists v)(\exists w) ((x = 2 + v) \& (a = x + 1 + w) \& (xy = a));$$

$$(\exists x)(\exists y)(\exists v)(\exists w) ((x - (2 + v) = 0) \& (a - (x + 1 + w) = 0) \& (xy - a = 0));$$

$$(\exists x)(\exists y)(\exists v)(\exists w) ((x - (2 + v))^2 + (a - (x + 1 + w))^2 + (xy - a)^2 = 0).$$

Now we have a Diophantine expression meaning “ a is composite,” which proves B is Diophantine:

$$B = \{a \mid a \text{ is composite}\} = \{a \mid (\exists x)(\exists y)(\exists v)(\exists w) ((x - (2 + v))^2 + (a - (x + 1 + w))^2 + (xy - a)^2 = 0)\}.$$

There is actually an easier way to say a is composite. A natural number a is composite just in case it can be written as a product of two factors, each of which is greater than or equal to 2. A natural number b is greater than or equal to 2 just in case it can be written in the form $b = 2 + x$. Therefore, we can show B is composite by writing

$$B = \{a \mid a \text{ is composite}\} = \{a \mid (\exists x)(\exists y) ((2 + x)(2 + y) = a)\}.$$

I chose not to show you this in class, because the way we did it in class illustrates some important techniques.

We are going to prove a general result about rewriting expressions. It will tell us that because we can rewrite “ $1 < x$ ” and “ $x < a$ ” as Diophantine expressions, it automatically follows that we can rewrite

$$(\exists x)(\exists y) (1 < x \& x < a \& xy = a),$$

as a Diophantine expression. This will save us from going through all this rewriting every single time.