

The set of values of an arithmetic function

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based on joint work with
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Let us introduce our cast of characters:

Euler's function — $\varphi(n)$ is the cardinality of $(\mathbb{Z}/n\mathbb{Z})^\times$.

Carmichael's function — $\lambda(n)$ is the exponent of $(\mathbb{Z}/n\mathbb{Z})^\times$.

σ is the sum-of-divisors function.

$s(n) = \sigma(n) - n$, the sum-of-proper-divisors function.

The oldest of these functions is $s(n) = \sigma(n) - n$, going back to Pythagoras. He was interested in fixed points ($s(n) = n$) and 2-cycles ($s(n) = m$, $s(m) = n$) in the dynamical system given by iterating s .

Very little is known after millennia of study, but we do know the number of n to x with $s(n) = n$ is at most x^ϵ (Hornfeck & Wirsing) and that the number of n to x with n in a 2-cycle is at most $x/\exp((\log x)^{1/3})$ for x large (P).

The study of the comparison of $s(n)$ to n led to the theorems of Schoenberg, Davenport, and Erdős & Wintner.

Erdős was the first to consider the set of values of $s(n)$. Note that if $p \neq q$ are primes, then $s(pq) = p + q + 1$, so that if a slightly stronger form of Goldbach's conjecture holds (all even integers at least 8 are the sum of 2 unequal primes), then all odd numbers at least 9 are values of s . Also, $s(2) = 1$, $s(4) = 3$, and $s(8) = 7$, so presumably the only odd number that's not an s -value is 5. It's known that this slightly stronger form of Goldbach is almost true in that the set of evens not so representable as $p + q$ has density 0. Thus, the image of s contains almost all odd numbers.

But what of even numbers? Erdős (1973): *There is a positive proportion of even numbers missing from the image of s .*

Unsolved: Does the image of s have an asymptotic density?
Does the image of s contain a positive proportion of even numbers?

The set of values of φ was first considered by [Pillai](#) (1929):
The number $V_\varphi(x)$ of φ -values in $[1, x]$ is $O(x/(\log x)^c)$, where $c = \frac{1}{e} \log 2 = 0.254 \dots$.

[Pillai](#)'s idea: There are not many values $\varphi(n)$ when n has few prime factors, and if n has more than a few prime factors, then $\varphi(n)$ is divisible by a high power of 2.

[Erdős](#) (1935): $V_\varphi(x) = x/(\log x)^{1+o(1)}$.

[Erdős](#)'s idea: Deal with $\Omega(\varphi(n))$ (the total number of prime factors of $\varphi(n)$, with multiplicity). This paper was seminal for the various ideas introduced. For example, the proof of the infinitude of [Carmichael](#) numbers owes much to this paper.

Again: $V_\varphi(x) = x/(\log x)^{1+o(1)}$.

But: A great deal of info may be lurking in that “ $o(1)$ ”.

After work of Erdős & Hall, Maier & P, and Ford, we now know that $V_\varphi(x)$ is of magnitude

$$\frac{x}{\log x} \exp \left(A(\log_3 x - \log_4 x)^2 + B \log_3 x + C \log_4 x \right),$$

where \log_k is the k -fold iterated log, and A, B, C are explicit constants.

Unsolved: Is there an asymptotic formula for $V_\varphi(x)$?

Do we have $V_\varphi(2x) \sim 2V_\varphi(x)$?

The same results and unsolved problems pertain as well for the image of σ .

In 1959, Erdős conjectured that the image of σ and the image of φ has an infinite intersection; that is, there are infinitely many pairs m, n with

$$\sigma(m) = \varphi(n).$$

It is amazing how many famous conjectures imply that the answer is yes!

Yes, if there are infinitely many twin primes:

If $p, p + 2$ are both prime, then

$$\varphi(p + 2) = p + 1 = \sigma(p).$$

Yes, if there are infinitely many Mersenne primes:

If $2^p - 1$ is prime, then

$$\varphi(2^{p+1}) = 2^p = \sigma(2^p - 1).$$

Yes, if the Extended Riemann Hypothesis holds.

It would seem a promising strategy to prove that there are at most finitely many solutions to $\sigma(m) = \varphi(n)$; it has some amazing and unexpected corollaries!

However, [Ford, Luca, & P](#) (2010): There are indeed infinitely many solutions to $\sigma(m) = \varphi(n)$.

We gave several proofs, but one proof uses a conditional result of [Heath-Brown](#): *If there are infinitely many Siegel zeros, then there are infinitely many twin primes.*

Some further results:

Garaev (2011): *For each fixed number a , the number $V_{\varphi,\sigma}(x)$ of common values of φ and σ in $[1, x]$ exceeds $\exp((\log \log x)^a)$ for x sufficiently large.*

Ford & Pollack (2011): *Assuming a strong form of the prime k -tuples conjecture, $V_{\varphi,\sigma}(x) = x/(\log x)^{1+o(1)}$.*

Ford & Pollack (2012): *Most values of φ are not values of σ and vice versa.*

The situation for Carmichael's function λ has only recently become clearer. Recall that $\lambda(p^a) = \varphi(p^a)$ unless $p = 2, a \geq 3$ when $\lambda(2^a) = 2^{a-2}$, and that

$$\lambda([m, n]) = [\lambda(m), \lambda(n)].$$

It is easy to see that the image of φ has density 0, just playing with powers of 2 as did Pillai. But what can be done with λ ? It's not even obvious that λ -values that are 2 mod 4 have density 0.

The solution lies in the “anatomy of integers” and in particular of shifted primes. It is known (Erdős & Wagstaff) that most numbers do not have a large divisor of the form $p - 1$ with p prime. But a λ -value has such a large divisor or it is “smooth”, so in either case, there are not many of them.

Using these thoughts, Erdős, P, & Schmutz (1991): *There is a positive constant c such that $V_\lambda(x)$, the number of λ -values in $[1, x]$, is $O(x/(\log x)^c)$.*

Friedlander & Luca (2007): *A valid choice for c is $1 - \frac{e}{2} \log 2 = 0.057\dots$.*

Banks, Friedlander, Luca, Pappalardi, & Shparlinski (2006):
 $V_\lambda(x) \geq \frac{x}{\log x} \exp((A + o(1))(\log_3 x)^2).$

So, $V_\lambda(x)$ is somewhere between $x/(\log x)^{1+o(1)}$ and $x/(\log x)^c$, where $c = 1 - \frac{e}{2} \log 2$.

Very recently, Luca & P (2013): $V_\lambda(x) \leq x/(\log x)^{\eta+o(1)}$, where $\eta = 1 - (1 + \log \log 2)/\log 2 = 0.086\dots$.

Further, $V_\lambda(x) \geq x/(\log x)^{0.36}$ for all large x .

Probably the “correct” exponent is η and Ford, Luca, & P may have a proof, stay tuned.

The constant η actually pops up in some other problems:

Erdős (1960): *The number of distinct entries in the $N \times N$ multiplication table is $N^2/(\log N)^{\eta+o(1)}$.*

The asymptotic density of integers with a divisor in the interval $[N, 2N]$ is $1/(\log N)^{\eta+o(1)}$. This result has its own history beginning with Besicovitch in 1934, some of the other players being Erdős, Hooley, Tenenbaum, and Ford.

Square values

Banks, Friedlander, P, & Shparlinski (2004): *There are more than $x^{0.7}$ integers $n \leq x$ with $\varphi(n)$ a square. The same goes for σ and λ .*

Remark. There are only $x^{0.5}$ squares below x . (!)

Might there be a positive proportion of integers n with n^2 a value of φ ?

Pollack & P (2013): No, the number of $n \leq x$ with n^2 a φ -value is $O(x/(\log x)^{0.0063})$. The same goes for σ .

Unsolved: Is it true that most squares are not λ -values?

GRACIAS, OBRIGADO, MERCI, & THANK YOU