

ON THE PROBLEM OF UNIQUENESS FOR THE MAXIMUM STIRLING NUMBER(S) OF THE SECOND KIND

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Abstract

Say that an integer n is *exceptional* if the maximum Stirling number of the second kind $S(n, k)$ occurs for two (of necessity consecutive) values of k . We prove that the number of exceptional integers less than or equal to x is $O(x^{3/5+\epsilon})$, for any $\epsilon > 0$.

1. Introduction

Let $S(n, k)$ be the Stirling number of the second kind, that is, the number of partitions of an n -set into k non empty, pairwise disjoint blocks. (Detailed definitions appear in the next section.) Using the initial value $S(0, k) = \delta_{0k}$ and the recursion

$$S(n+1, k) = kS(n, k) + S(n, k-1) \quad (1)$$

one may show by induction on n that

$$S(n, k)^2 \geq \left(1 + \frac{3}{k}\right) S(n, k-1) S(n, k+1), \quad 1 \leq k \leq n. \quad (2)$$

It follows that the ratio $S(n, k+1)/S(n, k)$ is strictly decreasing, and so there is either a unique maximum Stirling number

$$S(n, k) < S(n, K_n), \quad \text{for all } k \neq K_n$$

or else there are two consecutive peaks

$$S(n, k) < S(n, K_n) = S(n, K_n + 1), \quad \text{for all } k \notin \{K_n, K_n + 1\}.$$

Define the *exceptional set* E to be those n for which the second alternative holds. Based on computation through $n = 10^6$ reported in the final section, it is possible that $E = \{2\}$. Let $E(x)$ denote the associated counting function

$$E(x) = \#\{n : n \leq x \text{ and } n \in E\}.$$

The purpose of this paper is to prove

Theorem 1. For any $\epsilon > 0$,

$$E(x) = O(x^{3/5+\epsilon}).$$

Our proof of this theorem depends on the fact that, when $n \in E$, the quantity e^r , where r is the unique real solution of the equation $re^r = n$, must be unusually close to an integer plus $1/2$. (See equation (5) in Section 3.) Starting from (5) and using only elementary arguments, we will prove in Section 4 a result slightly weaker than Theorem 1, namely with the exponent $3/5$ replaced by $2/3$. Then, in Section 5, we will prove Theorem 1 by invoking recent work of Huxley [9] on counting integer points near curves. In Section 6, we give a heuristic argument for why E should be a finite set. Finally, in Section 7, we report on the computation and supporting lemma that proves $E \cap (1, 10^6] = \emptyset$.

2. Definitions and Background

A *partition* of the set $[n] = \{1, 2, \dots, n\}$ is a collection of non empty pairwise disjoint subsets of $[n]$, called *blocks*, whose union equals $[n]$. For example, $\{\{1, 4\}, \{2, 3, 5, 7\}, \{6\}\}$ is a partition of $[7]$ into 3 blocks. The Stirling number of the second kind, $S(n, k)$, is the number of partitions of $[n]$ into k blocks. Every partition of $[n + 1]$ into k blocks can be obtained either by adjoining $\{n + 1\}$ as a singleton block to an existing partition of $[n]$ into $k - 1$ blocks, or by adding the element $n + 1$ to one of the blocks of an existing partition of $[n]$ into k blocks. This construction proves the recursion (1). Here is a table of the first few rows of the Stirling numbers of the second kind:

$n \backslash k$	1	2	3	4	5
1	1				
2	1	1			
3	1	3	1		
4	1	7	6	1	
5	1	15	25	10	1

As explained in the Introduction, for each $n \geq 1$ there is a unique integer K_n satisfying

$$S(n, 1) < \cdots < S(n, K_n) \geq S(n, K_n + 1) > \cdots > S(n, n). \tag{3}$$

In words, K_n is the location of the maximum Stirling number of the second kind, with the proviso that should there be two consecutive maxima, K_n is the location of the “leftmore.” The *exceptional set* E consists of n such that $S(n, K_n) = S(n, K_n + 1)$, and $E(x)$ is the number of $n \leq x$ belonging to E .

There is a vast literature on the Stirling numbers to which many people have contributed, and many properties have been independently rediscovered. Harper’s [7] contributions are particularly noteworthy. He shows that the polynomials $\sum_k S(n, k)x^k$ have only real roots, a property called *total positivity*, which is stronger than log concavity. He articulates the unique-or-double peak property (3), and proves the asymptotic relation $K_n \sim n/\log n$, (His formula contains a superfluous factor e which was later corrected.) The asymptotic formula was obtained by others, for example [18]. Citing Harper’s work, Lieb [13] derives an inequality similar to (2), based on the general *Newton Inequality* for coefficients of polynomials whose roots are all real and negative. The very nice fact that K_{n+1} equals either K_n or $K_n + 1$ appears in [4] and [16]. Using (1) and (2), it can be shown that a necessary condition for $n \in E$ is $K_{n+1} = K_n + 1$. Thus, the growth condition $K_{n+1} - K_n \in \{0, 1\}$ plus the asymptotic relation $K_n \sim n/\log n$ together imply that $E(x) = O(x/\log x)$, as first pointed out by Wegner [19]. The latter paper of Wegner makes the explicit conjecture that $E = \{2\}$. Prior to the general adoption of more powerful analytic tools, in a series of papers [1, 6, 10, 11, 12] the authors Bach, Harborth, and Kanold employ clever elementary arguments to prove many interesting, sharp inequalities about K_n .

The fact that the signless Stirling numbers of the first kind do indeed have always a unique maximum is due to Erdős [5].

The status of the “duplicate maximum” problem has been misstated in the literature more than once. A source of misunderstanding might be the one line abstract, perpetuated in the Mathematical Reviews, of [4] which states, “For fixed n , Stirling numbers of the second kind, $S(n, r)$, have a single maximum.” Reading the paper, one sees clearly that the intended meaning is precisely (3); but certainly the statement can be easily misconstrued when read in isolation.

Canfield [2] and Menon [14] independently showed that K_n is always equal to $\lfloor \kappa(n) \rfloor$ or $\lceil \kappa(n) \rceil$, where $\kappa(n)$ is a certain transcendentially defined function. It will follow from what we say in Section 3 that for sufficiently large n a simpler definition of $\kappa(n)$ also satisfies the latter theorem, namely $\kappa(n) = e^r - 1$, where $re^r = n$. Throughout the paper, we shall always use $r(x)$ for the implicitly defined function

$$r(x)e^{r(x)} = x,$$

and the symbol r , with no argument, denotes $r(n)$. For $1 \leq n \leq 1200$ there is no exception to the relation

$$K_n \in \{\lfloor e^r - 1 \rfloor, \lceil e^r - 1 \rceil\}, \tag{4}$$

although it has been proven true only for n sufficiently large.

3. Asymptotics of the Stirling Numbers $S(n, k)$

We will neglect polylog factors in our estimates, and so it is convenient to define

$$F_1(x) = O_*(F_2(x))$$

to mean that for a sufficiently large constant C we have

$$|F_1(x)| \leq C(\log x)^C F_2(x), \quad \text{for } x \geq C.$$

This given, we may state the lemma that will be of central importance.

Lemma 1. For all sufficiently large $n \in E$ we have

$$e^r = \lfloor e^r \rfloor + \frac{1}{2} + \frac{1/2}{1+r} + O_*(n^{-1}), \tag{5}$$

where as usual $re^r = n$.

Proof. The exponential generating function in the letter n for $S(n, k)$ is [3]

$$\sum_{n=k}^{\infty} S(n, k) \frac{x^n}{n!} = \frac{(e^x - 1)^k}{k!}.$$

The Cauchy integral formula thus asserts

$$\frac{S(n, k)}{n!} = \frac{1}{2\pi i k!} \oint_{|z|=R} \frac{(e^z - 1)^k}{z^{n+1}} dz,$$

for any $R > 0$. If we take the radius R of the circle of integration to be the quantity r , and restrict attention to integers k which satisfy the relations

$$e^r - 1 = k + \theta, \quad \theta = O(1),$$

while making estimates such as those found in [15], we arrive at

$$S(n, k) = \frac{(e^r - 1)^k}{k!} \frac{n!}{r^n} (2\pi k B)^{-1/2} \left(1 - \frac{6r^2\theta^2 + 6r\theta + 1}{12re^r} + O_*(n^{-2}) \right),$$

where

$$B = B(r) = \frac{re^{2r} - (r^2 + r)e^r}{(e^r - 1)^2}$$

depends on r only.

This is very similar to the formula (1) in [2], although the latter was unnecessarily conservative in the error estimate. Now, with k and θ as above, we find

$$\frac{S(n, k + 1)}{S(n, k)} = 1 + \frac{(r + 1)\theta - \frac{1}{2}r - 1}{e^r} + O_*(n^{-2}).$$

It is this equation which gives us the assertion (4), for all n large, mentioned earlier, and by setting the right side equal to 1, we obtain the lemma.

Remark. The asymptotic formula for $S(n, k)$, and the more detailed one appearing in the proof of Lemma 2 in Section 6, are obtained by using the circle method. We do not include any details about how to use this method, which is a very standard and widely used technique for obtaining asymptotic estimates of the coefficients of analytic functions. The reader for whom this is a new topic should study [20, Section 4.5] before moving on to other papers. A very good account of the circle method particularly useful for asymptotic enumeration is [8]. The paper of Moser and Wyman [15] contains a lot of useful information about the particular case of the Stirling numbers. Another good source for this topic is [17].

4. The Elementary Proof

Our goal in this section is to prove that for any $\epsilon > 0$

$$E(x) = O(x^{2/3+\epsilon}).$$

Let $\epsilon > 0$ be given. It suffices to show that for all sufficiently large X

$$\left| [X, X + X^{1/3-\epsilon/2}] \cap E \right| \leq X^{\epsilon/2}. \tag{6}$$

If (6) fails, then we have infinitely many n such that $n, n + \ell_1, n + \ell_2 \in E$ with $0 < \ell_1 < \ell_2 \leq n^{1/3-\epsilon/2}$ and $\ell_2 - \ell_1 > n^{\epsilon/4}$. For each such n , we have r with $re^r = n$, and also r_i with $r_i e^{r_i} = n + \ell_i$. Note that

$$\log x - \log \log x \leq r(x) \leq \log x,$$

whence

$$r_i \sim r.$$

Since $r(x)e^{r(x)} = x$, it follows that

$$e^{r_i} \sim e^r.$$

By Taylor's theorem and the facts that

$$\frac{d}{dx}e^{r(x)} = \frac{1}{r(x)+1}, \quad \frac{d^2}{dx^2}e^{r(x)} = \frac{-1}{(r(x)+1)^3e^{r(x)}}, \quad \frac{d^3}{dx^3}e^{r(x)} = \frac{r(x)+4}{(r(x)+1)^5e^{2r(x)}},$$

we have

$$e^{r_i} = e^r + \frac{\ell_i}{r+1} - \frac{\ell_i^2}{2(r+1)^3e^r} + O_*(\ell_i^3n^{-2}),$$

$$\frac{1}{r_i+1} = \frac{1}{r+1} - \frac{\ell_i}{(r+1)^3e^r} + O_*(\ell_i^2n^{-2}).$$

Thus,

$$(\ell_1 - \ell_2)e^r + \ell_2e^{r_1} - \ell_1e^{r_2} = -\frac{\ell_2\ell_1^2 - \ell_1\ell_2^2}{2(r+1)^3e^r} + O_*\left(\frac{\ell_2\ell_1^3 + \ell_1\ell_2^3}{n^2}\right).$$

Similarly,

$$\frac{\ell_1 - \ell_2}{1+r} + \frac{\ell_2}{1+r_1} - \frac{\ell_1}{1+r_2} = O_*\left(\frac{\ell_2\ell_1^2 + \ell_1\ell_2^2}{n^2}\right).$$

Let us refer to the assertions of Lemma 1, namely,

$$e^{r_i} = m_i + 1/2 + \frac{1/2}{r_i+1} + O_*(n^{-1}),$$

as equation i , with $0 \leq i \leq 2$, taking $r_0 = r$. If we form $(\ell_1 - \ell_2)$ times equation 0 plus ℓ_2 times equation 1 minus ℓ_1 times equation 2, and substitute the above expansions, we find

$$-\frac{\ell_2\ell_1^2 - \ell_1\ell_2^2}{2(r+1)^3e^r} + O_*\left(\frac{\ell_2\ell_1^3 + \ell_1\ell_2^3}{n^2}\right) = \text{INTEGER} + O_*\left(\frac{\ell_2\ell_1^2 + \ell_1\ell_2^2}{n^2}\right) + O_*\left(\frac{\ell_2}{n}\right).$$

In the previous equation, every term except the one labeled "INTEGER" goes to 0 as $n \rightarrow \infty$; thus, for all sufficiently large n that term itself must be 0. Dividing through by $\ell_1\ell_2$ and collecting big-oh's,

$$\frac{\ell_2 - \ell_1}{2(r+1)^3e^r} = O_*\left(\frac{\ell_1^2 + \ell_2^2}{n^2}\right) + O_*\left(\frac{1}{\ell_1 n}\right).$$

Since, however, $\ell_2 - \ell_1 \geq n^{\epsilon/4}$, this last equality is impossible. Our initial assumption that (6) does not hold is contradicted, and the proof is complete.

5. The Proof of Theorem 1

The theorem due to Huxley which we shall apply, [9, (1.7)], bounds the number of integer pairs (n, m) which satisfy $|m - f(n)| \leq \delta$ for $n \in [X, 2X]$. We shall apply this result to the function

$$f(x) = e^{r(x)} - 1/2 - \frac{1/2}{1+r(x)},$$

with $\delta = X^{\epsilon-1}$. With these choices, by Lemma 1, for X sufficiently large, we include all members of $E \cap [X, 2X]$ in the count.

The hypotheses required of $f(x)$ are that there be numbers $C \geq 1, \Delta < 1$ such that

$$C\Delta \leq 1$$

$$\frac{\Delta}{C} \leq |f''(x)| \leq C\Delta, \quad x \in [X, 2X]$$

and

$$|f^{(3)}(x)| \leq \frac{C\Delta}{X}, \quad x \in [X, 2X].$$

The conclusion of Huxley's theorem is that the number of integer pairs (n, m) is no greater than an unspecified constant times

$$1 + \frac{1}{b} \sqrt{\frac{C\delta}{\Delta}} + C^2\delta X + \sum_{i=1}^4 (C\Delta)^{a_i} X^{b_i} (\log X - \log 2C)^{c_i} \delta^{d_i},$$

where b is the least positive integer such that for some $x \in [X, 2X]$ we have $bf'(x)$ within distance δ of an integer, and the exponents (a_i, b_i, c_i, d_i) in the sum assume the four values $(\frac{2}{5}, 1, \frac{1}{10}, 0), (\frac{1}{5}, \frac{4}{5}, \frac{1}{10}, 0), (\frac{2}{7}, 1, \frac{1}{7}, \frac{1}{7}),$ and $(\frac{1}{7}, \frac{6}{7}, \frac{1}{7}, \frac{1}{7})$.

If we take $\Delta = X^{-1}$, then the quantity C satisfying the hypotheses of Huxley's Theorem may be taken as $O(X^\epsilon)$, and we obtain the result that between X and $2X$ there are $O(X^{3/5+\epsilon})$ elements of E . This estimate suffices to prove the Theorem. (By being a bit more careful, one may use Huxley's Theorem to show that the number of members of E up to X is at most $X^{3/5}(\log X)^{O(1)}$.)

6. A Heuristic

In this section we give a strengthening of Lemma 1 that leads to a heuristic argument that the set E is finite. Note that already the estimate of Lemma 1 heuristically supports the conclusion that $E(x) = O(x^\epsilon)$, and with more care, $E(x) \leq (\log x)^{O(1)}$. To push this heuristic further we need a more precise version of Lemma 1.

Lemma 2. For $n \in E$ and $re^r = n$, we have

$$e^r = [e^r] + \frac{1}{2} + \frac{1/2}{1+r} + \frac{A_r}{e^r} + O_*(n^{-2}),$$

where A_r is a rational function in r with rational coefficients.

Proof. With the same meaning for k, θ, B as in the proof of Lemma 1, it is possible to show that uniformly for $|\theta| = O(1)$, we have

$$S(n, k) = \frac{(e^r - 1)^k}{k!} \frac{n!}{r^n} (2\pi kB)^{-1/2} \left(1 - \frac{F_1}{e^r} + \frac{F_2}{e^{2r}} + O\left(\frac{r^3}{e^{3r}}\right) \right),$$

where

$$\begin{aligned} F_1(\theta) &= \frac{1 + 6r\theta + 6r^2\theta^2}{12r}, \\ F_2(\theta) &= \frac{1}{288r^2} \left(r^4(-36 - 144\theta - 144\theta^2 + 36\theta^4) \right. \\ &\quad \left. + r^3(96 + 144\theta - 288\theta^2 - 24\theta^3) + r^2(-144\theta - 24\theta^2) + 12r\theta + 1 \right). \end{aligned}$$

Returning to the proof, it follows from the above formula that

$$\frac{S(n, k+1)}{S(n, k)} = \frac{e^r - 1}{k+1} \left(\frac{k}{k+1} \right)^{1/2} \left(\frac{1 - F_1(\theta - 1)e^{-r} + F_2(\theta - 1)e^{-2r}}{1 - F_1(\theta)e^{-r} + F_2(\theta)e^{-2r}} + O_*(n^{-3}) \right). \quad (7)$$

Suppose now that $n \in E$, so that $S(n, k+1)/S(n, k) = 1$. Write

$$\theta = u + \frac{1}{2} + \frac{1/2}{r+1},$$

so that Lemma 1 implies that $u = O_*(n^{-1})$. Hence, if $g(x, y) \in \mathbf{Q}[x, y]$, then

$$g(r, \theta) = g\left(r, \frac{1}{2} + \frac{1/2}{r+1}\right) + O_*(n^{-1}).$$

Thus,

$$\frac{e^r - 1}{k+1} = \frac{e^r - 1}{e^r - \theta} = 1 + \frac{\theta - 1}{e^r} + \frac{\theta^2 - \theta}{e^{2r}} + O_*(n^{-3}) = 1 + \frac{\theta - 1}{e^r} + \frac{a_r}{e^{2r}} + O_*(n^{-3}),$$

where a_r is a rational function of r with rational coefficients. Also

$$\left(\frac{k}{k+1} \right)^{1/2} = 1 - \frac{1/2}{e^r} + \frac{1/8 - \theta/2}{e^{2r}} + O_*(n^{-3}) = 1 - \frac{1/2}{e^r} + \frac{b_r}{e^{2r}} + O_*(n^{-3}),$$

where again, b_r is in $\mathbf{Q}(r)$. And

$$\begin{aligned} &\frac{1 - F_1(\theta - 1)e^{-r} + F_2(\theta - 1)e^{-2r}}{1 - F_1(\theta)e^{-r} + F_2(\theta)e^{-2r}} = \\ &1 - \frac{F_1(\theta - 1) - F_1(\theta)}{e^r} + \frac{F_2(\theta - 1) - F_2(\theta) + (F_1(\theta - 1) - F_1(\theta))F_1(\theta)}{e^{2r}} + O_*(n^{-3}) \\ &= 1 + \frac{1/2 - r/2 + r\theta}{e^r} + \frac{c_r}{e^{2r}} + O_*(n^{-3}), \end{aligned}$$

where $c_r \in \mathbf{Q}(r)$.

Thus (7) and the above estimates imply that

$$1 = \left(1 + \frac{\theta - 1}{e^r} + \frac{a_r}{e^{2r}}\right) \left(1 - \frac{1/2}{e^r} + \frac{b_r}{e^{2r}}\right) \left(1 + \frac{1/2 - r/2 + r\theta}{e^r} + \frac{c_r}{e^{2r}}\right) + O_*(n^{-3}).$$

Subtracting 1 from both sides and multiplying by e^r , we get

$$\begin{aligned} (r + 1)\theta - \frac{1}{2} - \frac{1}{2}(r + 1) &= -e^{-r} (a_r + b_r + c_r - \frac{1}{2}(\theta - 1) + (\theta - \frac{3}{2})(\frac{1}{2} - \frac{1}{2}r + r\theta)) + O_*(n^{-2}) \\ &= d_r e^{-r} + O_*(n^{-2}), \end{aligned}$$

where $d_r \in \mathbf{Q}(r)$. Thus, we have Lemma 2.

We now give a heuristic argument, based on Lemma 2, that the set E is finite. With $re^r = x$, let

$$g(x) = e^r - \frac{1}{2} - \frac{1/2}{r + 1} - \frac{A_r}{e^r} = \frac{x}{r} - \frac{1}{2} - \frac{1/2}{r + 1} - \frac{rA_r}{x}.$$

The function $g(x)$ is smooth with

$$g'(x) \sim \frac{1}{\log x}, \quad g''(x) \sim \frac{-1}{x \log^2 x}, \quad g^{(3)}(x) \sim \frac{1}{x^2 \log^2 x}.$$

There is no reason to believe that $g(n)$ has a predilection to be close to an integer over any other transcendental number. But Lemma 2 implies that for $n \in E$, we have $\|g(n)\| = O_*(n^{-2})$, where $\| \cdot \|$ denotes the distance to the nearest integer. Heuristically, the number of such integers n is $\sum O_*(n^{-2}) = O(1)$. (One might view the expression $O_*(n^{-2})$ as an upper bound for the “probability” that $n \in E$, and the sum of these probabilities is $O(1)$.)

7. Numerics

To verify that $E \cap (1, 10^6] = \emptyset$, we wrote a program to compute $S(n, k) \bmod 2^{31} - 1$. We computed all such residues for $2 \leq n \leq 10^6$ and $2 \leq k \leq \min\{87890, n\}$, finding 33 pairs (n, k) satisfying the conditions:

$$\begin{aligned} 2 &\leq n \leq 10^6 \\ 2 &\leq k < \min\{87890, 2n/\log(n), n\} \\ S(n, k) &= S(n, k + 1) \bmod 2^{31} - 1. \end{aligned}$$

We may impose the stated bounds on k for these reasons: (1) by Lemma 3, stated and proven below, $K_n < 2n/\log(n)$ for $n \geq 151$; (2) an independent computation of exact values of $S(n, k)$, using `maple`, had already shown $E \cap (1, 1200] = \emptyset$; (3) $S(10^6, 87848) > S(10^6, 87890)$.

The third of these facts was established by making rigorous numerical estimates, with considerable help from `maple`. The basis for these estimates is the pair of inequalities

$$\frac{k^n}{k!} \sum_{j=0}^{\mathcal{O}} \binom{k}{j} (-1)^j (1 - j/k)^n \leq S(n, k) \leq \frac{k^n}{k!} \sum_{j=0}^{\mathcal{E}} \binom{k}{j} (-1)^j (1 - j/k)^n \quad (8)$$

for any positive odd integer \mathcal{O} and nonnegative even integer \mathcal{E} . These are the Bonferroni inequalities ([3], Section 4.7). We used $\mathcal{O} = 5$ and $\mathcal{E} = 4$ to prove

$$\begin{aligned} \log S(10^6, 87848) &> 10\,471\,198 \\ \log S(10^6, 87890) &< 10\,471\,197.992 \end{aligned}$$

Later, by taking $\mathcal{E} = 10$ and $\mathcal{O} = 11$ we were able to show conclusively that

$$K_{10^6} = 87846.$$

For anyone wishing to duplicate the computation, we provide these checkpoints:

- the first of the 33 pairs is $(n, k) = (124322, 16581)$
- the last of the 33 pairs is $(n, k) = (965756, 12911)$
- $S(10^6, 87890) = 1111899618 \pmod{2^{31} - 1}$
- $S(124322, 16581) = 1636672468 \pmod{2^{31} - 1}$
- $S(965756, 12911) = 897942184 \pmod{2^{31} - 1}$

The program was modified to compute $S(n, k) \pmod{2^{19} - 1}$, and run a second time. This second modulus was able to distinguish 31 of the pairs found in the first run; for example,

$$S(124322, 16581) = 31493 \pmod{2^{19} - 1} \quad \text{and} \quad S(124322, 16582) = 504717 \pmod{2^{19} - 1}.$$

However, all four of the numbers $S(n, k)$ for $n = 526314, k = 51889, 51890$ and $n = 559358, k = 52358, 52359$ are $0 \pmod{2^{19} - 1}$. To distinguish among these a further calculation was needed. Note that the bounds given in equation (8) are in fact equalities if \mathcal{E} , or as appropriate \mathcal{O} , is equal to k . For a prime $p > k$ this provides a way to compute $S(n, k) \pmod{p}$ directly, without computing any other Stirling numbers in the process. This identity shows, as shown in [19, (4.1)], that $S(n, k) \equiv S(A, k) \pmod{p}$ for prime $p > k$ and $n \equiv A \not\equiv 0 \pmod{p - 1}$. For the first few primes p larger than k , we have $0 < A < k$, so for these primes $S(n, k)$ is congruent to 0. The first prime larger than 51889 for which $S(526314, 51889)$ is not congruent to 0 is $p = 52639$. We have

$$S(526314, 51889) = 4890 \pmod{52639}, \quad \text{and} \quad S(526314, 51890) = 43718 \pmod{52639}.$$

In a similar manner, the pair for $n = 559358$ is distinguished by the prime $p = 55949$. In this way, then, we prove there are no duplicate maxima for $1 < n \leq 10^6$.

We now conclude with the above referenced lemma.

Lemma 3. For all integers $n \geq 151$ we have $K_n < 2n/\log n$.

Proof. For any positive integer k with $1 \leq k \leq n$, we have

$$\frac{k^n}{k!} - \frac{(k-1)^n}{(k-1)!} \leq S(n, k) \leq \frac{k^n}{k!}. \tag{9}$$

These inequalities are the case $\mathcal{E} = 0$ and $\mathcal{O} = 1$ of equation (8). We include a from-scratch proof since it is not difficult. Indeed, the number of assignments of the integers $1, \dots, n$ into k labeled boxes with no box remaining empty is at most k^n , and each set partition of $[n]$ corresponds to $k!$ such assignments. Thus, we have the upper bound in (9). Further, the number of assignments without the restriction that no box remain empty is exactly k^n , and the number of assignments where box i remains empty is exactly $(k-1)^n$. Thus, the number of assignments with no box remaining empty is at least $k^n - k(k-1)^n$. From this, the lower bound in (9) follows easily.

We now let $k = \lfloor n/\log n \rfloor$. We will show that for $n \geq 151$,

$$\frac{(2k)^n}{(2k)!} < \frac{k^n}{k!} - \frac{(k-1)^n}{(k-1)!}. \tag{10}$$

Note that (9) and (10) show that $S(n, k) > S(n, 2k)$, and so from (3), we must have $K_n < 2k$. To see (10), let

$$\alpha = \frac{(2k)^n/(2k)!}{k^n/k!}, \quad \beta = \frac{(k-1)^n/(k-1)!}{k^n/k!}.$$

We will show that for $n \geq 151$ we have $\alpha, \beta < 1/2$, so that (10) follows for these values of n .

We have

$$\begin{aligned} \beta &= k(1 - 1/k)^n \leq ke^{-n/k} = \lfloor n/\log n \rfloor e^{-n/\lfloor n/\log n \rfloor} \\ &\leq (n/\log n)e^{-\log n} = 1/\log n. \end{aligned}$$

Thus, for $n \geq 151$, we have $\beta \leq 1/\log 151 < 1/5$. The estimation for α is a little more difficult. We have

$$\alpha^{-1} = \frac{(2k)!}{k!} 2^{-n} = k! \binom{2k}{k} 2^{-n}.$$

Using the inequalities $k! > (k/e)^k$, $\binom{2k}{k} \geq 2^{2k}/(2k)$, which are both easy to see, we have

$$\alpha^{-1} > k^{k-1} e^{-k} 2^{2k-1-n},$$

so that

$$\log(\alpha^{-1}) > (k-1)(\log k + \log 4 - 1) - ((n-1)\log 2 + 1).$$

An elementary check shows that this last expression exceeds 1 for all integers $n \geq 151$, so that $\alpha < 1/e$ in this range. This completes the proof of (10) and the lemma.

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