

# Geometry via point counting

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## Linear algebra problem

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Case  $k = 1$

$$\frac{q^n - 1}{q - 1} = 1 + q + q^2 + \cdots + q^{n-1}$$

$$\#\mathbb{P}^{n-1}(\mathbb{F}_q) = 1 + q + q^2 + \cdots + q^{n-1}$$

Projective space  $\mathbb{P}^{n-1}$  over  $\mathbb{F}_q$

## Linear algebra problem

**Problem.** Compute the number of  $k$ -dim subspaces of  $\mathbb{F}_q^n$

General case. Transitive action of  $\mathrm{GL}_n(\mathbb{F}_q)$  with stabilizer

$$\begin{pmatrix} \mathrm{GL}_k(\mathbb{F}_q) & M_{k \times (n-k)}(\mathbb{F}_q) \\ 0 & \mathrm{GL}_{n-k}(\mathbb{F}_q) \end{pmatrix}$$

Orbit-stabilizer theorem gives

$$\frac{|\mathrm{GL}_n(\mathbb{F}_q)|}{|\mathrm{GL}_k(\mathbb{F}_q)| |\mathrm{GL}_{n-k}(\mathbb{F}_q)| |M_{k \times (n-k)}(\mathbb{F}_q)|}$$
$$\frac{(q^n - 1)(q^n - q) \cdots (q^n - q^{n-1})}{(q^k - 1) \cdots (q^k - q^{k-1}) (q^{n-k} - 1) \cdots (q^{n-k} - q^{n-k-1}) q^{k(n-k)}}$$

## Linear algebra problem

**Problem.** Compute the number of  $k$ -dim subspaces of  $\mathbb{F}_q^n$

$$\begin{aligned}\#G(k, n)(\mathbb{F}_q) &= \frac{(q^n - 1)(q^n - q) \cdots (q^n - q^{k-1})}{(q^k - 1)(q^k - q) \cdots (q^k - q^{k-1})} \\ &= \frac{(q^n - 1)(q^{n-1} - 1) \cdots (q^{n-k+1} - 1)}{(q^k - 1)(q^{k-1} - 1) \cdots (q - 1)} \\ &= \binom{n}{k}_q \quad q\text{-binomial coefficient}\end{aligned}$$

Grassmannian  $G(k, n)$  over  $\mathbb{F}_q$

## Linear algebra problem

**Problem.** Compute the number of  $k$ -dim subspaces of  $\mathbb{F}_q^n$

$$\binom{n}{k}_q = \sum_{i=0}^{k(n-k)} \lambda_{n,k}(i) q^i$$

$\lambda_{n,k}(i)$  number of partitions of  $i$  into at most  $n - k$  parts of size at most  $k$

$$\#G(1, n)(\mathbb{F}_q) = 1 + q + \dots + q^{n-1}$$

$$\#G(2, 4)(\mathbb{F}_q) = 1 + q + 2q^2 + q^3 + q^4$$

$$\#G(2, 5)(\mathbb{F}_q) = 1 + q + 2q^2 + 2q^3 + 2q^4 + q^5 + q^6$$

$$\#G(2, 6)(\mathbb{F}_q) = 1 + q + 2q^2 + 2q^3 + 3q^4 + 2q^5 + 2q^6 + q^7 + q^8$$

## Topology

$G(k, n)$  complex Grassmannian manifold, dimension  $k(n - k)$

$b_i = \text{rk } H^i(G(k, n), \mathbb{Z})$   $i$ th Betti number

**Theorem** (Schubert 1874).  $H^i(G(k, n), \mathbb{Z})$  is free abelian group generated by Schubert classes for  $i$  even and is 0 for  $i$  odd

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Poincaré polynomial  $P_X(t) = \sum_{i=0}^{2 \dim(X)} (-1)^i b_i t^i$

$$P_{G(1,n)}(t) = 1 + t^2 + \dots + t^{2(n-1)}$$

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$$P_{G(2,6)}(t) = 1 + t^2 + 2t^4 + 2t^6 + 3t^8 + 2t^{10} + 2t^{12} + t^{14} + t^{16}$$



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$$P_{G(k,n)}(q^{1/2}) = \#G(k, n)(\mathbb{F}_q)$$

## Elliptic curves I

**Theorem** (Tate 1966). Two elliptic curves over  $\mathbb{F}_q$  are isogenous if and only if they have the same number of rational points.

Use this as a test for when two elliptic curves defined over a number field are not isogenous

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$$E : y^2 + xy + y = x^3 - 460x - 3830$$

$$E' : y^2 + xy + y = x^3 - x^2 - 213x - 1257$$

$E$  and  $E'$  have conductor 26 and no torsion points over  $\mathbb{Q}$

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$$\#E(\mathbb{F}_3) = 3, \#E'(\mathbb{F}_3) = 7$$

## Elliptic curves II\*

$E/\mathbb{Q}$  elliptic curve

$$L(E, s) = \prod_p L_p(E, s)^{-1}$$

Local factors in terms of  $\#E(\mathbb{F}_p)$

$$L_p(E, s) = 1 - a_p p^{-s} + p^{1-2s} \quad p \text{ is a prime of good reduction}$$

Fourier coefficient  $a_p = p + 1 - \#E(\mathbb{F}_p)$

**Conjecture** (Birch–Swinnerton-Dyer 1965).

$$\text{ord}_{s=1} L(s, E) = \text{rk } E(\mathbb{Q})$$

## Weil conjectures

$X$  smooth projective variety of dimension  $n$  over  $\mathbb{Z}_p$

$$\zeta(X, s) = \exp \left( \sum_{m=1}^{\infty} \frac{\#X(\mathbb{F}_{q^m})}{m} q^{-ms} \right)$$

**Conjecture** (Weil 1949) [Dwork, Grothendieck, Deligne]

- ① (Rationality)  $\zeta(X, s)$  is a rational function in  $T = q^{-s}$

$$\zeta(X, s) = \frac{P_1(T) \cdots P_{2n-1}(T)}{P_0(T) \cdots P_{2n}(T)}$$

- ② (Betti numbers)  $\deg P_i(T) = b_i(X(\mathbb{C}))$
- ③ (Functional equation)  $E = \sum_{i=0}^{2n} (-1)^i b_i(X(\mathbb{C}))$

$$\zeta(X, n-s) = \pm q^{\left(\frac{n}{2}-s\right)E} \zeta(X, s)$$

- ④ (Riemann hypoth)  $|\alpha_{ij}| = q^{i/2}$  if  $P_i(T) = \prod_j (1 - \alpha_{ij} T)$

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Grothendieck's proof of Betti numbers

$$P_i(T) = \det(I - \Phi^* T \mid H_{\text{ét}}^i(\bar{X}_0, \mathbb{Q}_\ell))$$

Characteristic polynomial of Frobenius  $\Phi : \bar{X}_0 \rightarrow \bar{X}_0$  acting on  $\ell$ -adic cohomology of the special fiber over  $\bar{\mathbb{F}}_q$

### Lefschetz trace formula

$$\#X(\mathbb{F}_{q^m}) = \sum_{i=0}^{2n} (-1)^i \text{Tr}(\Phi^{m*} \mid H_{\text{ét}}^i(\bar{X}_0, \mathbb{Q}_\ell))$$

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**Example.**  $E$  elliptic curve over  $\mathbb{Z}_p$

$$\zeta(E, s) = \frac{1 - a_p T + pT^2}{(1 - T)(1 - pT)}$$

$H_{\text{ét}}^1(\bar{E}, \mathbb{Q}_\ell) = T_\ell(E) \otimes \mathbb{Q}_\ell$  Tate module

$a_p = 1 - \#E(\mathbb{F}_p) + p$  Frobenius trace

Corollary is Tate's isogeny theorem



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**Example.**  $G(k, n)$  Grassmannian over  $\mathbb{Z}_p$

$$\zeta(G(k, n), s) = \prod_{i=0}^{k(n-k)} (1 - q^i T)^{-\lambda_{n,k}(i)}$$

Corollary

$$\#G(k, n)(\mathbb{F}_q) = P_{G(k,n)}(q^{1/2})$$

## Quartic K3 surfaces

$X \subset \mathbb{P}_{\mathbb{C}}^3$  smooth quartic hypersurface, e.g.,

$$x^4 + y^4 + z^4 + w^4 = 0$$

$X$  is a K3 surface:  $\omega_X = \mathcal{O}_X$  and  $H^1(X, \mathcal{O}_X) = 0$

$$\begin{array}{cccc} & & 1 & \\ & 0 & & 0 \\ 1 & & 20 & 1 \\ & 0 & & 0 \\ & & 1 & \end{array}$$

Néron–Severi group

$\text{NS}(X) \subset H^2(X, \mathbb{Z})$

free lattice of rank  $\rho(X)$

$1 \leq \rho(X) \leq 20$

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$$1 \leq \rho(X) \leq 20$$

$\rho(X) = 1$  for a “very general”  $X$

**Challenge** (Mumford). Write down any example with  $\rho(X) = 1$ .

## Quartic K3 surfaces

**Theorem** (van Luijk 2007). First explicit example of quartic K3 surface  $X$  with  $\rho(X) = 1$

Idea: A random enough choice of coefficients will suffice, the real challenge is verifying that  $\rho(X) = 1$

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$X$  K3 surface over  $\mathbb{Z}_p$

$$\zeta(X, s) = \frac{P_2(T)}{(1-T)(1-q^2T)}$$

$$P_2(T) = \Psi(T)P_{\text{tr}}(T)$$

$\Psi(T)$  product of cyclotomic polynomials, coming from  $\text{NS}(\overline{X}_0)$

Conclusion  $\rho(X_{\mathbb{C}}) \leq$  number of root of unity roots of  $P_2(T)$

## Quartic K3 surfaces

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To compute  $P_2(T) =$  characteristic poly of  $\Phi^*$  on  $H_{\text{ét}}^2(\bar{X}_0, \mathbb{Q}_\ell)$

- Newton's formulas  $\implies$   
can deduce  $P_2(T)$  from  $\text{Tr}(\Phi^{m*})$  for  $m = 1, \dots, 22$
- Lefschetz trace formula  $\implies$   
 $\#X(\mathbb{F}_{q^m}) = 1 + \text{Tr}(\Phi^{m*}) + q^2$
- Functional equation  $\implies$   
only need to count  $\#X(\mathbb{F}_{q^m})$  for  $m = 1, \dots, 11$